

GREY LITERATURE ON BILINGUALISM IN BELGIUM

Joachim SCHÖPFEL

Head of department E-Publishing and Document Supply, Institute for Scientific and Technical Information (INIST), National Center for Scientific Research (CNRS)

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Het artikel is opgesteld naar aanleiding van een conferentie gegeven door de auteur in het kader van *GL9, Ninth International Conference on Grey Literature : Grey Foundations In Information Landscape*, georganiseerd door de Grey Literature Network Service op 10-11 december 2007 te Antwerpen.

▪ Le bilinguisme, à savoir l'étude et l'usage de deux langues, est une réalité linguistique, sociale, pédagogique et psychologique pour de nombreuses personnes dans la plupart des pays. Au cœur de l'Europe, la Belgique est un pays où vivent deux populations culturellement et linguistiquement distinctes sans compter l'immigration, le commerce mondialisé et des institutions internationales. Elle est donc particulièrement confrontée à cette réalité. En réaction à sa société multiculturelle et pour répondre à ses défis, la Belgique a développé depuis de nombreuses années des activités de recherche interdisciplinaire importantes dans le domaine du bilinguisme. Les particularités de notre étude sont doubles. Tout d'abord, la recherche sur le bilinguisme se situe au croisement de la linguistique, de la sociologie, de la psychologie et des sciences pédagogiques, chaque domaine disposant de ses propres vecteurs de publication et de communication. Ensuite, alors que la plupart des études précédentes sur l'importance de la littérature grise sont des analyses de citations, notre étude se base sur les résultats de recherches dans les banques de données, les catalogues, les archives ouvertes et les moteurs de recherche.

▪ Tweektaligheid, het leren en gebruiken van twee talen is voor veel mensen en in de meeste landen een linguïstische, sociale, educatieve en psychologische werkelijkheid. In het hartje van Europa wordt een land zoals België, bestaande uit twee culturele en taalkundige bevolkingen, met immigratie, wereldhandel en internationale instellingen, in het bijzonder geconfronteerd met deze realiteit. Als reactie op en deel van zijn multiculturele maatschappij heeft België sinds vele jaren een significante interdisciplinaire onderzoeksactiviteit op het vlak van tweektaligheid ontwikkeld. De bijzonderheden van onze studie zijn tweevoudig. Ten eerste is het onderzoek naar tweektaligheid interdisciplinair, op het kruispunt van linguïstiek, sociologie, psychologie en pedagogische wetenschappen. Ten tweede – terwijl de meeste voorgaande studies over het belang van grijze literatuur, citatieanalyses zijn, baseert onze studie zich op zoekresultaten vanuit databanken, catalogi, open archieven en zoekmachines.

On the (relative) importance of grey literature

Grey literature has a role of its own as a means of distributing scientific and technical information, and professionals insist on its importance for two main reasons: research results are often more detailed in reports, doctoral theses and conference proceedings than in journals, they are distributed in these forms up to 12 or even 18 months before being published elsewhere, and some results simply aren't published elsewhere.

But how do researchers use grey literature? One way of evaluating this is to analyze the citations given in their publications. Schöpfel and Farace's forthcoming article¹ (Schöpfel & Farace 2009) provide some empirical evidence based on a syn-

thesis of different scientometric studies (see table 1).

The relative importance of grey literature is largely dependent on research disciplines and subjects, on methodological approaches, and on sources used. In some fields, especially the life sciences and medical sciences, there has been a traditional preference for conventional distribution media (journals), while in others, such as agriculture,

Table 1: Part of grey literature in different scientific domains

Field	Grey literature citations (in %)
Soil science	14%
Biology	5-13%
Veterinary medicine	6%
Psychiatry (addiction)	1%
Psychology	3%
Engineering Sciences	39-42%
Economics	9-17%
Sociology	7-9%
Education Science	14-19%

aeronautics and the engineering sciences in general, grey literature resources tend to predominate².

A small study of bibliographic references published in four different journals in library and information sciences revealed that grey literature accounts on average for around 20% of all sources used. Even so, citations to grey material vary widely between different papers from 0% to 50% and more, depending at least in part on subject areas and methodologies. Most of the non-commercial documents can clearly be identified as unpublished material, doctoral or master theses, proceedings, reports, legal texts, working papers, lecture notes, websites, and even posted messages. But especially for some conference proceedings and other serial resources, the identification of the exact nature of the cited material poses difficulties.

In order to add more empirical evidence on the position of grey literature in scientific publishing, especially in Social Sciences and Humanities, we carry out an alternative approach that is complementary to the citation analyses mentioned above.

We select a scientific field in Social Sciences and Humanities with a specific relationship to the hosting town of the conference GL9, the Ninth International Conference on Grey Literature, (bilingualism in Belgium), we define a recent time period (2000-2007), we investigate with usual search tools the scientific production (databases, catalogues, search engines, repositories), and finally we evaluate the part of grey literature of this production. Our leading questions were:

1. What is the scientific production on bilingualism in Belgium?
2. How important is grey literature for this scientific activity?
3. What are the particular features of grey literature in this field?

The following section provides a global overview on Belgium and on scientific research on bilingualism, together with some general features related to publications. The third section describes the methodological approach. The 4th section presents the empirical data, the 5th section discusses the limits of the study and resumes the major results, and the 6th section concludes with some apparent features of this sample of grey literature and with two additional questions about quality and access.

Bilingualism as an object of scientific study

In our global village, learning and using two or more languages is an everyday reality of many people and most countries. Among the most important reasons for this reality are immigration, international commerce and business, linguistic and cultural minorities. In the heart of Europe, Belgium presents two more particularities: the nation is mainly composed of two cultural and linguistic populations, the French-speaking Walloons in the South and the Dutch-speaking Flemings in the North, while its capital, Brussels, is also the capital of Europe with important international institutions, such as the European Commission, the European parliament and the NATO headquarters³.

Verbal behaviour is linked to economic, social, historical, political, psychological, educational and linguistic factors. Thus, the scientific regard on bilingualism is manifold and depends on the specific background and methodology of the scientist: the psychologist will study the influence of motivation, attitude and cognitive development, the social scientists will focus on identity, role and social group, the linguist will analyse cross-linguistic semantics and syntactic, the educational researcher will evaluate the output of bilingual programs, a psychiatric specialist will publish case studies on pathological verbal behaviour, and a historian will try to understand the cultural, political and economic roots of bilingualism. In many cases, research on bilingualism is conducted by interdisciplinary teams, developing original psycholinguistic, sociolinguistic, psycho-pedagogical or even neuro-linguistic approaches⁴.

50% of recent literature in Elsevier's Scopus database (from 648 items published between 2000 and 2007) is from social sciences, while psychology, medicine, arts and humanities and neurosciences account for 20-35% (see figure 1).

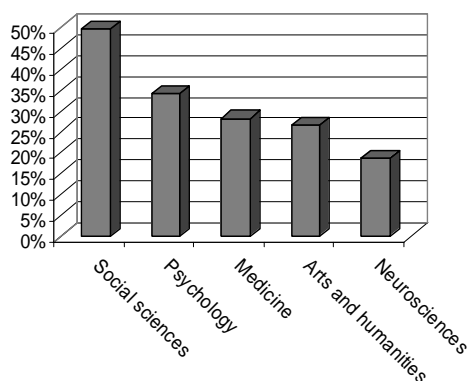


Fig. 1: Publications on bilingualism 2000-2007 – scientific domains (Scopus).

Figure 1 shows also that articles on bilingualism are partly published in journals indexed in more than one scientific discipline.

In spite of the universal character of bilingualism, only some countries developed a significant amount of scientific research on bilingualism.

Another analysis of the Scopus database reveals that 7 countries produce 85% of the recent literature on bilingualism (see figure 2).

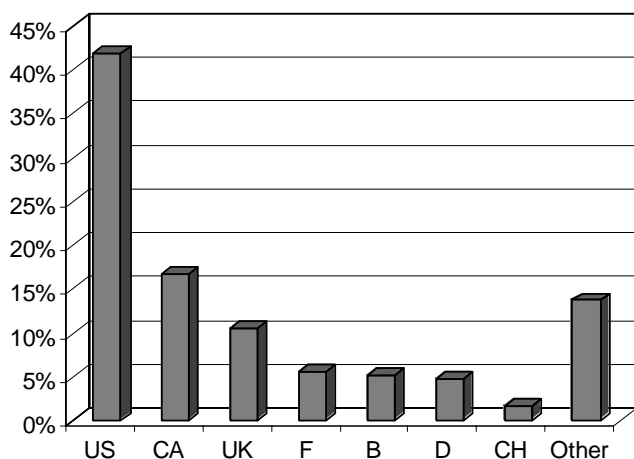


Fig. 2: Publications on bilingualism 2000-2007 – countries (Scopus).

Not surprisingly, more than the half of the indexed literature is published by American or Canadian scientists. All the same, Belgium with 4% ranks at nearly the same level as France (6%) or Germany (5%), confirms the importance of its scientific production in this field. These results from only one database may not be exhaustive but they seem representative enough.

Methodology

Bibliographic searches in scientific databases and library catalogues and with STI and general search engines were carried out to identify the Belgian scientific production on bilingualism. The following databases were selected:

- *SCI Science Citation Index* (ISI Web of Knowledge)
- *SSCI Social Science Citation Index* (ISI Web of Knowledge)
- *A&HCI Arts and Humanities Citation Index* (ISI Web of Knowledge)
- *Scopus* (Elsevier)
- *IBSS International Bibliography of the Social Sciences* (CSA)
- *ERIC* (CSA)
- *PAIS International* (CSA)
- *Social Services Abstracts* (CSA)

- *Dissertation Abstracts* (ProQuest)
- *PsycARTICLES* (Ovid)
- *PASCAL* (INIST)
- *FRANCIS* (INIST)
- *ISD* (INIST)

Together they cover the core of scientific production (WoK, Scopus, the INIST databases, Diss. Abstr.) and more specific domains related to bilingualism (social science, humanities). The databases were accessed through the French Centre National de la Recherche Scientifique portal *BiblioSHS*⁵ or via the local academic campus (Nancy-Université). We only regret that for technical reasons the *Linguistics Abstracts* database could not be accessed.

The Belgian academic library catalogues that reflect the major national scientific holdings were accessed via the union OPAC *Libis*, via the academic interlibrary loan network *IMPALA* or directly on the universities' web sites (Leuven, Ghent, Brussels, etc).

Complementary searches were undertaken with three mostly used generalist and scientific and technical information (STI) search engines:

- *Scirus* (Elsevier)
- *Google Scholar*
- *Google*

The *Directory of Open Access Repositories*⁶ (OpenDOAR) was used to identify and search in Belgian open archives. We searched also directly on different institutional web sites (university home pages) for institutional and/or thematic open repositories.

The study was undertaken between May and November 2007.

The search criteria were:

1. Concepts of "bilingualism" in titles, keywords and abstracts (in English, French or Dutch, e.g. *bilingual*, *bilingualism*, *bilingue*, *bilinguisme*, *tweetalig*, *tweetaligheid*, *second language*,...).
2. The mention of Belgium in titles, authors' address, authors' affiliation or other institutional information.
3. Limitation to recent publications from 2000 to 2007.

The search strategy was rather iterative than linear, alternating between databases and catalogues, repositories and search engines in order to complete affiliations and references, confirm free access and check for other publications from identified authors or co-authors.

Based on the search results two file sheets were created, one with information on authors (first and last name, institution/affiliation, domain and sub-domain), another with information on publications (first author, presence of co-authors, short title, language, year of publication, type of publication, free access on the Web, deposit in repository). Data analyses combined information from both tables.

Results

The three leading questions for the data analysis are:

1. What is the scientific production on bilingualism in Belgium? Who publishes in the field of bilingualism, where are the main academic and research structures in this field, which are the dominating topics and approaches?
2. How important is grey literature for this scientific activity? What is the part of theses, reports and conference proceedings compared to the overall production of results of the research on bilingualism in Belgium?
3. More specifically, what are the particular features of grey literature in this field? Special attention is paid to the importance of digital information available on the Web, on academic or personal pages or in institutional repositories and other open archives.

Based on empirical evidence, the following sections try to provide at least partial responses.

Publications: number, year, language and category

Search results in databases, catalogues and repositories and on the Web allow for the identification of 164 different publications on bilingualism in Belgium or written by Belgian scientists on this topic, with an average production of 20 publications per year (see figure 3). Considering that 2007 publications are only incompletely recorded in databases and catalogues so far, an overall growth of around 20% can be observed from 2000 to 2007 that is consistent with the general tendency of an annual increase of 2-3% for overall scientific production.

85% of the identified documents are published in English, 13% in French and 5% in Dutch; 2 docu-

ments are in Spanish, one in German and another

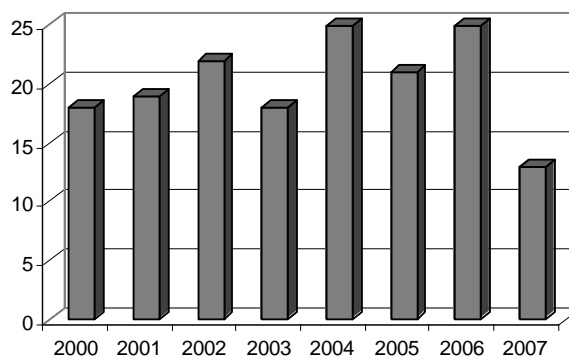


Fig. 3: Publication year (sample).

in Italian.

Most of the documents are published as articles in scientific journals (see figure 4).

30% are dissertations (e.g. doctoral or master theses), working papers or communications held in conferences. The rest – less than 10% – are book chapters, reports, a monograph, a book with a conference proceedings and a poster.

Authors, institutions and domains

The 164 publications are written by 81 first authors to whom we added 5 co-authors clearly identified as being affiliated to Belgian academic institutions. Most of the first authors have published one or two documents on bilingualism between 2000 and 2007 but three researchers from the Ghent University occupy a more important and noticeable position: Jan Blommaert with 27 publications, Marc Brysbaert with 9 publications and Robert Hartsuiker with 6 publications.

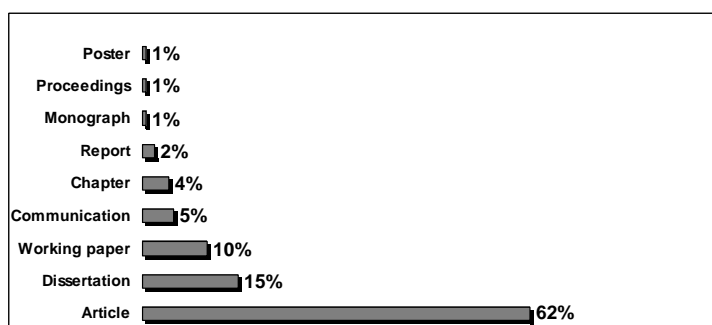


Figure 4: Publication category (sample).

The first authors are affiliated to 12 Belgian scientific institutions, even if some of them are also affiliated to institutions in other countries, such as London University (see table 2).

Obviously the most important Belgian institution for research on bilingualism is the Ghent University with nearly 50% of all publications of the 2000-2007 sample. Four academic campus – Ghent, Leuven (KUL), Antwerp and Brussels (ULB) – cover together 2/3 of the scientific production on bilingualism. 20% of the publications can not be clearly linked to a university or another Higher Education structure or research center, or are written by an author affiliated to a foreign institution.

Table 2: Affiliation of first author (sample)

Affiliation of first author	Number of publications
Ghent University	75
Katholieke Universiteit Leuven (KUL)	13
University of Antwerp (UA)	11
Université Libre de Bruxelles (ULB)	10
Lessius Hogeschool	5
Katholieke Hogeschool Kempen (KHK)	4
Université de Liège (Ulg)	4
Université Catholique de Louvain (UCL)	2
Universiteit Hasselt (UHasselt)	2
Royal Academy of Science, Humanities and Fine Arts of Belgium	1
Facultés Universitaires Notre-Dame de la Paix, Namur (FUNDP)	1
Not identified or non Belgian	34

For each publication the major scientific domain was derived from the authors' affiliation, from database indexing and from the topic of publication, e.g. title, abstract, author's keywords (see figure 5).

Psychology and Linguistics cover together 80% of the sample. The remaining 20% are from other disciplines, e.g. Education, Communication, Neurosciences, Political Sciences, Economics and Business, Literature, History and even Informatics.

Nevertheless, we can distinguish more specific and/or interdisciplinary approaches such as Cognitive Science, Experimental Psychology and Neuropsychology for the Psychology discipline, Ethnography and African Language and Cultures for Linguistics, and Psycholinguistics at the intersection of both disciplines.

Some typical subjects of publications:

- Cross-cultural communication in international schools
- The role of schooling
- Multinational and polyethnic Politics entwined
- Aphasia in a bilingual brain
- Forward and backward number translation
- Ethnography, discourse and hegemony
- Influence of the language-specific orthographic representations
- Bilingual visual word recognition
- Cross-language comedy
- Bilingualism and the development of executive control
- Understanding bilingual memory

- Size of the cross-lingual masked phonological priming
- Cross-linguistic priming
- Universals of language maintenance
- Pathophysiology of language switching
- Multilingualism: an fMRI study
- Investigating narrative inequality

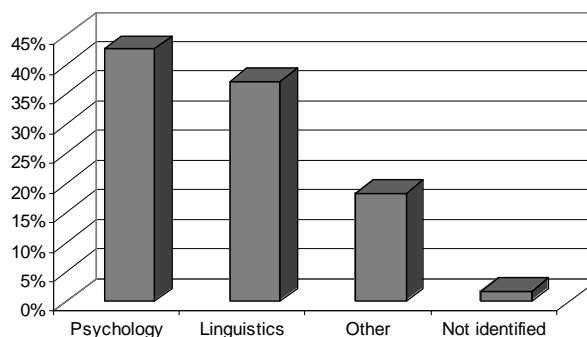


Fig. 5: Scientific domains of publications (sample).

Compared to the international production (content of the Scopus database, see figure 1), there seem to be significantly less Belgian publications on bilingualism in Social and Medical Sciences but as we did not match up our indexing to the Scopus index, this may be at least partly biased by our method.

Features of grey literature

In our sample, 55 documents (34%) correspond to the criteria of the New York definition of grey literature, e.g. "which is produced on all levels of government, academics, business and industry in print and electronic formats, but which is not controlled by commercial publishers i.e. where pub-

lishing is not the primary activity of the producing body"⁷ (GL6, 2005).

Nearly half of these grey documents (45%) are dissertations (master or doctoral theses), another 31% are unpublished working papers, and 15% are communications (see figure 6).

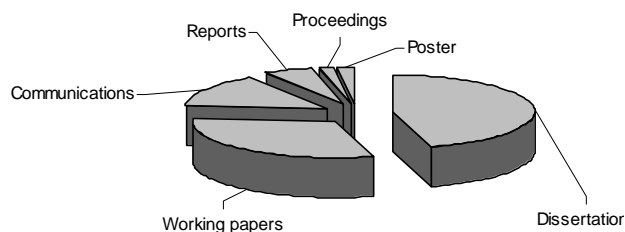


Fig. 6: Typology of grey documents (sample).

A closer look on these documents reveals some differences with the "white" publications:

Production: The average number of grey literature is not increasing but stable (around 6-8 publications per year) or slightly decreasing (only 2 identified grey documents in 2005).

Language: The part of non English publications is significantly higher than of articles. While 95% of the published articles and book chapters are in English, the percentage of English grey literature is only 64%, e.g. one third of grey documents are written in French (18%), Dutch (13%) or other languages.

Domains: The part of disciplines other than Linguistics or Psychology is slightly higher (24%) than for articles (18%).

Institutions: In contrast, there seems to be no significant difference regarding to scientific institutions, e.g. none of them produce more or less grey literature compared to white output.

Authors: The grey documents were published by 38 first authors (47% of all first authors). 24 of them (63%) didn't publish anything else during the observed time period.

Grey to white: Based on the comparison of titles, we can suppose that in at least 8 cases (15%) it exists a direct relationship between grey and white publications, the grey document (working paper, communication, dissertation) preceding one, two or even four years the publication of an article or a chapter on the same subject.

Open access to grey documents

For each of the 55 grey documents Internet searches were performed, firstly with the mentioned search engines, then with the OpenDOAR

search facility, finally directly in some major Belgian academic repositories, especially from the institutions to which the first authors are affiliated. The results are shown in figure 7.

23 documents (42%) can be accessed on the Web, most of them (20 documents or 36%) are deposited in an open, mainly institutional repository.

Compared to the different categories of grey documents, a tendency or bias can be discerned. In our sample, reports and working papers are more often accessible on the Web while dissertations are less. Maybe this last tendency is related to our choice to include master theses in the sample that are less often deposited on an academic website than doctoral theses. In some rare cases access to documents seems to be lost.

Discussion

The presented results are limited by some factors related to the methodology and/or to the subject of the study, e.g.

Language: Belgian academics publish in at least three languages, English, French and Dutch. As a matter of fact, international scientific databases are strongly biased and contain mainly English publications. Even if we tried to counterbalance this bias by searching directly in Belgian catalogues and repositories, searching in Dutch is more difficult than in French or English, even for a German-speaking professional. So some Flemish publications may simply have been overlooked.

Affiliations: Analysis of affiliations was limited to the first author. Nevertheless, 56% of the publications of the sample are co-authored. To gather an in-depth and more comprehensive look on the scientific production of the Belgian academic and research institutions, affiliations of the co-authors should be evaluated.

Scientific fields: Differing from the Scopus database, we only indexed the main scientific domain for each publication which introduces a bias to the major disciplines, e.g. Psychology and Linguistics.

Interdisciplinary: As mentioned above, bilingualism

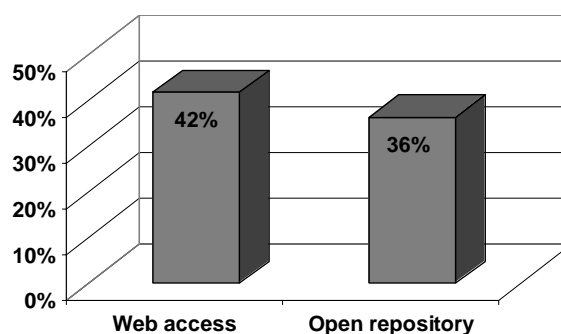


Fig. 7: Web access to grey documents (sample).

is an object of interdisciplinary research interest. In some "borderline" cases it is not obvious to decide whether a given publication is part of research on bilingualism or should be excluded from the sample, bilingualism being only a marginal topic of limited interest.

The "Belgian aspect" of research: The sample contains (mostly) publications from Belgian authors as well as (some) studies on bilingualism in Belgium published by non Belgian authors. In the second case attention was paid that the publication places this aspect in the centre of interest. This double approach allows a more comprehensive analysis but may introduce some "fuzzyness" in the evaluation of affiliations and open access.

Repositories: Some of the visited institutional repositories provide rather limited search facilities. The alternative approach, browsing or searching "from outside" based on OAI metadata protocol harvesting, may exclude some publications on bilingualism from the sample.

In spite of these limitations, the main results of the study seem to be sufficiently significant and can be resumed as follows:

1. **Scientific production on bilingualism in Belgium:** More than 80 academics and researchers published between 2000 and 2007 on bilingualism in Belgium. The annual output is about 20 publications, with a slightly increasing tendency. The main research institutions are the Ghent University and, to a lesser extent, the universities of Leuven (KUL), Antwerp and Brussels (ULB). Most research is performed in Psychology and Linguistics, but the interdisciplinary character of most publications is apparent.
2. **Importance of grey literature:** One third of the publications on bilingualism are grey, nearly half of them are master or doctoral theses, followed by working papers and communications.
3. **Particular features of grey literature:** Compared to white publications (articles), the part of non English documents is more important. Two third of the authors of grey documents didn't publish their results in articles or books; a smaller part of grey information was published in journals up to four years later. Nearly half of grey publications are freely available on the Web, most of the time in institutional (academic) repositories.

Conclusion

The goal of our research was to provide additional empirical evidence on the importance of grey literature in Social Science and Humanities, based on a sample of recent publications. The selected topic, bilingualism, is not a strategic or frontline domain such as biotechnology or genomics. Its

specificity lies in the interdisciplinary approach, at the frontier of social sciences, humanities and medical sciences and in the strong relationship with the societal environment.

Our sample shows a dynamic and developing scientific research activity and production where the grey literature occupies a significant place, complementary to the articles published in academic journals. Its signification – more than 30% of all publications – can be described by three aspects, timeliness, uniqueness, and community.

Timeliness: One part of the grey documents, in particular dissertations, working papers and communications, present research results one or more years before formal and peer reviewed publications.

Uniqueness: Apparently, one part of the research results presented in grey documents has not been published elsewhere so far, and probably will not be. In these cases, grey literature is the only vector of scientific communication.

Community: Whereas articles in scientific journals are mainly published in English and available for the international scientific readership, grey literature is partly published in the community-specific languages Dutch and French and thus more deeply linked to the Flemish and Walloon scientific communities.

What about quality? Articles published in scientific journals – 62% in our sample – have undergone the usual quality control of scientific information, selection by editors, peer review, revision. Nevertheless, at least 60% of the grey documents have also been evaluated before publication: dissertations are evaluated by academic commissions; and communications have been selected by conference program committees and commented by the public (auditors), followed in some cases by revision before publication. The "quality gap" between grey and white appears thus to be rather relative than absolute, grey literature being at least partly published with added value through scientific peer evaluation.

A final comment should be made on open access to grey literature. The OpenDOAR site records actually (November 2007) 14 institutional, two disciplinary and one aggregating repository located in Belgium, most of them with multidisciplinary and multilingual (English, Dutch and French) content. Even if some of them present limited search functionalities, these repositories appear to be the best way to preserve (archive) and make available grey documents, especially in contrast to hard to find personal Web pages or specific academic web sites such as lists of working papers without metadata and/or search facilities. In the future, authors of grey documents as well as scientific and academic institutions should be more con-

scious of this problem and act in favour of the utility and use especially of institutional repositories as a complementary vector of scientific communication⁸, in particular for working papers, master and doctoral theses and conference proceedings, in order to make and keep them available for a larger scientific community.

Joachim Schöpfel

INIST-CNRS

2, allée du Parc de Brabois
54519 Vandoeuvre-lès-Nancy
France
schopfel@inist.fr

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Notes

¹ Schöpfel J.; Farace, D.J. Grey Literature. in *Encyclopedia of Library and Information Sciences*. 3rd edition. Bates, M.J. & Maack, M.N. (ed), Taylor & Francis, 2009 (forthcoming).

² See also: Schöpfel J.; Stock C.; Farace D.J.; Frantzen J. Citation Analysis and Grey Literature: Stakeholders in the Grey Circuit. *The Grey Journal*, 2005, Vol. 1, n°1, pp. 31-40.

- ³ See for instance: Swenden W.; Jans M.T. Will it stay or will it go? Federalism and the sustainability of Belgium. *West European Politics*, 2006, Vol. 29, n° 5, pp. 877-894.
- ⁴ See for instance some highly cited studies on bilingualism such as:
Portes A.; Schauflyer R. Language and the 2nd generation – bilingualism yesterday and today. *International Migration Review*, 1994, Vol. 28, n° 4, pp. 640-661.
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- ⁶ <<http://www.opendoar.org>> (login: 7 March 2008).
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Tenth International Conference on Grey Literature
"Designing the Grey Grid for Information Society"
 Science Park Amsterdam (Netherlands), 8-9 December 2008

Opening Session

Session 1: Institutional Repositories and Grey Literature

Session 2: Grey Literature in Biomedical Communities

Poster Presentations

Session 3: Legal Aspects, Intelligence, and Text Mining in Grey Literature

Session 4: Grey Literature in Research

Closing Session

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